

## WHAT WE DO

### **COR Clearing is an independent full-service clearing and settlement firm focused on the success of our introducing broker-dealer clients.**

COR Clearing provides technology, administrative services and product offerings through multiple customized platforms in order to allow correspondents to focus on growing their businesses. In addition, we provide our correspondents introductions to capital providers, issuers, compliance, capital markets specialists along with other front and back-office functions. Our goal is to reduce settlement, compliance and legal risks to our correspondents while enhancing their ability to realize the full value of their business relationships.

COR Clearing serves a variety of introducing brokers in all 50 states and holds assets in custody exceeding several billion. Our needs-driven client approach has established our reputation as the partner of choice for discerning independent broker-dealers.

While many of our competitors focus on the profitability of their affiliated broker-dealer, banking and hedge fund businesses, we focus solely on your success.

**COR Clearing**  
Focused on your  
success.

Our firm began as part of **Mutual of Omaha** and evolved into an independent platform, Legent Clearing.

In 2011, Legent Clearing was acquired by COR Securities Holdings Inc., and became what is today COR Clearing.