

# SEC Rule 606 Report - COR Clearing LLC – 2nd Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

## Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>1.84</b>	<b>0.00</b>	<b>98.16</b>
UBS Securities LLC	65.88	48.28	0.00	66.21
Citadel Securities	31.64	41.38	0.00	31.46

100.00% of total customer orders were non-directed orders.

## Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>0.29</b>	<b>0.00</b>	<b>99.71</b>
Citadel Securities	63.87	0.00	0.00	64.06
UBS Securities LLC	36.13	100.00	0.00	35.94

100.00% of total customer orders were non-directed orders.

## Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>0.89</b>	<b>0.00</b>	<b>99.11</b>
Citadel Securities	68.84	66.67	0.00	68.86
UBS Securities LLC	29.38	33.33	0.00	29.34

100.00% of total customer orders were non-directed orders.

## Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>0.00</b>	<b>100.00</b>	<b>0.00</b>
Susquehanna Investment Gr	100.00	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)

[How to read this report](#)

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