

# SEC Rule 606 Report - COR Clearing LLC – 3rd Qtr. 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

## Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>2.06</b>	<b>0.00</b>	<b>97.94</b>
UBS Securities LLC	70.19	60.66	0.00	70.39
Citadel Securities	26.94	32.79	0.00	26.82

100.00% of total customer orders were non-directed orders.

## Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>2.28</b>	<b>0.00</b>	<b>97.72</b>
Citadel Securities	71.99	61.90	0.00	72.22
UBS Securities LLC	27.80	38.10	0.00	27.56

100.00% of total customer orders were non-directed orders.

## Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total (%)</b>	<b>100.00</b>	<b>1.42</b>	<b>0.00</b>	<b>98.58</b>
Citadel Securities	66.05	90.00	0.00	65.71
UBS Securities LLC	32.81	10.00	0.00	33.14

100.00% of total customer orders were non-directed orders.

## Exchange-Listed Options

No Covered Orders

[Material Aspects of Relationship with Route Venues](#)

[How to read this report](#)

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